EXACTNESS AND UNIFORM EMBEDDABILITY OF DISCRETE GROUPS

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ABSTRACT. We define a numerical quasi-isometry invariant, $R(\Gamma)$, of a finitely generated group Γ , whose values parametrize the difference between Γ being uniformly embeddable in a Hilbert space and $C_r^*(\Gamma)$ being exact.

1. Introduction

In his study of large scale properties of finitely generated groups, M. Gromov introduced the notion of uniform embeddability. [6]. Recall that a uniform embedding of one metric space (X, d_X) into another (Y, d_Y) is a function $f: X \to Y$ for which there exist non-decreasing functions $\rho_{\pm} \colon [0, \infty) \to \mathbb{R}$ such that $\lim_{r \to \infty} \rho_{\pm}(r) = +\infty$ and such that for all $x, y \in X$

$$\rho_{-}(d_X(x,y)) \le d_Y(f(x), f(y)) \le \rho_{+}(d_X(x,y)). \tag{1}$$

The condition $\lim_{r\to\infty} \rho_{\pm}(r) = +\infty$ is summarized by saying that the ρ_{\pm} are proper. In an appendix we collect several known facts about the relation between uniform embeddings and other notions from coarse geometry.

Gromov raised the question of whether a finitely generated group that is uniformly embeddable in a Hilbert space (when viewed as a metric space with a word length metric) satisfies the Novikov Conjecture [5]. This was answered affirmatively by Yu:

Theorem ([18, 17]). Let Γ be a finitely generated group, equipped with a word length metric. If Γ is uniformly embeddable in Hilbert space then Γ satisfies both the Novikov Conjecture and the Coarse Baum-Connes Conjecture.

Recently, Gromov has proved the existence of a countable discrete group which is not uniformly embeddable in a Hilbert space [7]. On the other hand, it has been observed that this group does satisfy the Novikov Conjecture, although it is not known whether it satisfies the Coarse Baum-Connes Conjecture [11].

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From the analytic side, E. Kirchberg and S. Wassermann extensively studied the notion of exactness of a countable discrete group [12, 13]. Recall that Γ is *exact* if $C_r^*(\Gamma)$ is an exact C^* -algebra, that is, if taking minimal tensor product with $C_r^*(\Gamma)$ on each of the terms in a short exact sequence of C^* -algebras preserves the exactness of the sequence.

Uniform embeddability is a geometric property of a group, while exactness is more closely related to harmonic analysis. It is interesting that there is a relation between these notions. Indeed, the connection between these types of properties is related to the Baum-Connes Conjecture. Recently, it was shown that exactness of a countable discrete group implies its uniform embeddability in a Hilbert space.

Theorem ([10, 9, 14]). Let Γ be a finitely generated discrete group. If $C_r^*(\Gamma)$ is an exact C^* -algebra, then Γ , viewed as a metric space with a word length metric, is uniformly embeddable in a Hilbert space.

One may ask to what extent the converse of this result holds. The question of whether a uniformly embeddable group is exact has been studied from various perspectives (see [2, 8]). In the present paper we introduce a numerical invariant $R(\Gamma)$ of a finitely generated discrete group Γ which can be viewed as parameterizing the difference between the group being exact and being uniformly embeddable in a Hilbert space.

2. The definition of $R(\Gamma)$

Although our primary interest is in uniform embeddings into Hilbert space, we will formulate the basic definitions in the context of general metric spaces. Recall that a function $f: X \to Y$ is large-scale Lipschitz if there exist C > 0 and $D \ge 0$ such that

$$d_Y(f(x), f(y)) \le Cd_X(x, y) + D. \tag{2}$$

The following example shows that a uniform embedding of a discrete metric space is not necessarily Lipschitz, or even large-scale Lipschitz.

Example 2.1. Let $X = \{ (n, 1/n), (n, 0) : n = 1, 2, \dots \} \subset \mathbb{R}^2$ with the induced metric. Define $f: X \to \mathbb{R}^2$ by f(n, 1/n) = (n, 1) and f(n, 0) = (n, 0). Then f is a both a uniform embedding and a large-scale Lipschitz map but it is not a Lipschitz map. Let $Y = \{ n^2 : n \in \mathbb{N} \} \subset \mathbb{R}$ with the induced metric. Define $g: Y \to \mathbb{R}$ by $g(y) = y^2$. Then g is a uniform embedding but it is not large-scale Lipschitz.

Let $Lip^{ls}(X, Y)$ denote the set of large-scale Lipschitz maps from X to Y. Following Gromov [6], define the *compression* ρ_f of $f \in Lip^{ls}(X, Y)$ by

$$\rho_f(r) = \inf_{d_X(x,y) \ge r} d_Y(f(x), f(y)) \tag{3}$$

The compression function ρ_f is a non-decreasing, non-negative real-valued function satisfying the first inequality in (1), and has the property that if ρ_- is a another such function then $\rho_- \leq \rho_f$. Consequently, f is a uniform embedding if and only if ρ_f is proper. Always assuming that the metric on X is unbounded, we define a real-valued invariant of X as follows.

Definition 2.2. Let X be a metric space with an unbounded metric.

(i) The asymptotic compression R_f of a large-scale Lipschitz map $f \in Lip^{ls}(X,Y)$ is

$$R_f = \liminf_{r \to \infty} \frac{\log \rho_f^*(r)}{\log r},\tag{4}$$

where $\rho_f^*(r) = \max\{\rho_f(r), 1\}.$

(ii) The compression of X in Y is

$$R(X,Y) = \sup\{ R_f : f \in \operatorname{Lip^{ls}}(X,Y) \}.$$

(iii) If Y is a Hilbert space, then the Hilbert space compression of X is

$$R(X) = R(X, \mathcal{H}).$$

Remark. The distinction between ρ_f^* and ρ_f in (4) is not essential, but is meant to eliminate pathology; when ρ_f is unbounded, the definition (4) is unchanged if we replace ρ_f^* by ρ_f . Also, observe that $R_f \geq 0$.

Proposition 2.3. The compression of X in Y satisfies $R(X,Y) \leq 1$. Indeed, the asymptotic compression of a large-scale Lipschitz map f satisfies $R_f \leq 1$.

Proof. Let $f \in \text{Lip}^{\text{ls}}(X,Y)$ and let C > 0 and $D \ge 0$ be constants as in (2) supplied by fact that f is large-scale Lipschitz. Since X is unbounded, there exist sequences x_n and $y_n \in X$ such that $r_n = d_X(x_n, y_n) \to \infty$. For these r_n we have $\rho_f(r_n) = \inf_{d(x,y) \ge r_n} d_Y(f(x), f(y)) \le Cr_n + D$ and, for all sufficiently large n, $\rho_f^*(r_n) \le Cr_n + D$. Hence

$$R_f = \liminf_{r \to \infty} \frac{\log \rho_f^*(r)}{\log r} \le \liminf_{n \to \infty} \frac{\log (Cr_n + D)}{\log r_n} = 1.$$

Proposition 2.4. If a metric space X admits an isometric embedding into a metric space Y, then R(X,Y)=1.

Proof. If $f: X \to Y$ is an isometry, we have $\rho_f(r) \ge r$, hence $R_f = 1$. Thus, $R(X, Y) \ge 1$. By the previous proposition R(X, Y) = 1.

In fact, the same conclusion will follow from the existence of a quasi-isometric embedding of X into a Hilbert space (see Theorem 2.12).

Proposition 2.5. If a metric space X admits an isometric embedding into the Banach space $l^1(\mathbb{N})$ then $R(X) \geq 1/2$.

Proof. Let $f: X \to l^1(\mathbb{N})$ be an isometric embedding. Define a function $g: \mathbb{R} \to L^2(\mathbb{R})$ by mapping $x \geq 0$ to the characteristic function of [0,x] and x < 0 to the characteristic function of [x,0]. Note that $||g(x) - g(y)||_{l^2(\mathbb{R})}^2 = |x-y|$. For $\mathbf{x} = (x_1, x_2, \dots) \in l^1(\mathbb{N})$ set $h(\mathbf{x}) = g(x_1) \oplus g(x_2) \oplus \dots \in L^2(\mathbb{R}) \oplus L^2(\mathbb{R}) \oplus \dots$. Then it is easily checked that $h \circ f$ is a uniform embedding with $\rho_f^*(r) \geq \sqrt{r}$. Hence, $R(X) \geq 1/2$.

The same conclusion would follow from the existence of a quasi-isometric embedding of X into $l^1(\mathbb{N})$.

We record here some results which will be proved later in the paper.

Example 2.6. The Hilbert space compression of the metric space obtained from a sequence of expander graphs is zero, as is true of any metric space that is not uniformly embeddable in a Hilbert space (see Proposition 3.1).

Example 2.7. According to Example 2.4 we have $R(\mathbb{Z}) = 1$. Further, $R(\mathbb{Z}^n) = 1$, for all $n \in \mathbb{N}$ (see Proposition 4.1).

Example 2.8. Let \mathbb{F}_2 be the free group on two generators. We have $R(\mathbb{F}_2) = 1$ (see Proposition 4.2).

Our primary interest is when our metric space is a finitely generated discrete group Γ , equipped with the left invariant metric induced by the *word length* function associated to a finite, symmetric generating set.

The value $R(\Gamma)$ will be shown to be independent of the particular generating set chosen. Equipped with a metric in this manner, Γ is a geodesic space. In fact it will be important to have a result that holds even for a quasi-geodesic space.

Recall that a discrete metric space X is a quasi-geodesic space if there exist $\delta > 0$ and $\lambda \ge 1$ such that for all x and $y \in X$ there exists a sequence $x = x_0, x_1, \ldots, x_n = y$ of elements

of X such that

$$\sum_{1}^{n} d_X(x_{i-1}, x_i) \le \lambda d_X(x, y),$$

$$d_X(x_{i-1}, x_i) \le \delta, \quad \text{for all } 1 \le i \le n.$$

$$(5)$$

Although a uniform embedding of a discrete metric space is not necessarily large-scale Lipschitz (Example 2.1), a uniform embedding of a quasi-geodesic metric space is.

Proposition 2.9 ([6]). Let X and Y be metric spaces, and assume that X is quasi-geodesic. Let $f: X \to Y$ be a uniform embedding Then f is large-scale Lipschitz.

Proof. We will only use the existence of ρ_+ (a non-decreasing, non-negative real-valued function satisfying the second inequality in (1)). Let $\lambda \geq 1$ and $\delta > 0$ be the constants supplied by the fact that X is quasi-geodesic. We will show that there exist constants C > 0, $D \geq 0$ such that

$$d_Y(f(x), f(y)) \le Cd_X(x, y) + D$$
, for all $x, y \in X$.

Let $x, y \in X$ and let x_0, \ldots, x_n be a sequence of elements of X satisfying (5). Extract a subsequence x_{i_0}, \ldots, x_{i_m} as follows: $i_0 = 0$ and, assuming i_0, \ldots, i_j are already defined,

$$i_{j+1} = \begin{cases} \text{the smallest integer } k \text{ such that } d(x_{i_j}, x_k) \geq \delta/2, \\ \text{if such exists; if no such } k \text{ exists put } m = j \text{ and stop.} \end{cases}$$

The subsequence has the following properties:

- (i) $x_{i_0} = x$, $d_X(x_{i_m}, y) \le \delta/2$, and
- (ii) $\delta/2 \le d_X(x_{i_{j-1}}, x_{i_j}) \le 3\delta/2$, for $1 \le j \le m$.

We have the following estimates:

$$d_Y(f(x), f(y)) \le \sum_{j=1}^m d_Y(f(x_{i_{j-1}}), f(x_{i_j})) + d_Y(f(x_{i_m}), f(y)) \le m\rho_+(3\delta/2) + \rho_+(\delta/2),$$

$$m\delta/2 \le \sum_{j=1}^m d_X(x_{i_{j-1}}, x_{i_j}) \le \sum_{j=1}^n d_X(x_{i-1}, x_i) \le \lambda d_X(x, y).$$
(6)

From the second we conclude that $m \leq 2\delta^{-1}\lambda d_X(x,y)$ which, combined with the first, yields

$$d_Y(f(x), f(y)) \le 2\delta^{-1}\lambda \rho_+(3\delta/2) d_X(x, y) + \rho_+(\delta/2).$$

We next establish the quasi-isometry invariance of the Hilbert space compression. Recall that a function $\varphi \colon X \to Y$ is a quasi-isometry if there exist C > 0 and $D \ge 0$ such that for all $x, x' \in X$

$$C^{-1}d_X(x,x') - D \le d_Y(f(x),f(x')) \le Cd_X(x,x') + D,$$
(7)

The spaces X and Y are *quasi-isometric* if there exists a quasi-isometry $\varphi \colon X \to Y$ and K > 0 such that φ has K-dense range, meaning that every element of Y is within distance K of an element in the image of φ .

Equivalently, X and Y are quasi-isometric if there exist quasi-isometries $\varphi \colon X \to Y$ and $\psi \colon Y \to X$ and a K > 0 such that

$$d(\psi \varphi(x), x) \le K$$
, for all $x \in X$
 $d(\varphi \psi(y), y) \le K$, for all $y \in Y$.

In this case, one calls φ a quasi-isometric equivalence.

Proposition 2.10. Let $\varphi: X_1 \to X_2$ be a quasi-isometry. Then $R_{\varphi} = 1$

Proof. By Proposition 2.3 we have $R_{\varphi} \leq 1$. If C and D are constants as in (7) supplied by the fact that φ is a quasi-isometry we have $C^{-1}r - D \leq \rho_{\varphi}(r)$, from which we conclude that $R_{\varphi} \geq 1$.

Proposition 2.11. Let $f \in \text{Lip}^{ls}(X,Y)$ and $g \in \text{Lip}^{ls}(Z,X)$. Then $f \circ g \in \text{Lip}^{ls}(Z,Y)$ and $R_{f \circ g} \geq R_f R_g$.

Proof. Let f and g be as in the statement. Direct computation shows that $f \circ g$ is large-scale Lipschitz, and further that

$$\rho_{f \circ g}(r) \ge \rho_f(\rho_g(r)),$$

and the same for ρ^* . If the increasing function $\rho_g(r)$ is bounded then $R_g=0$ and there is nothing to prove. We therefore may assume that $\lim_{r\to\infty}\rho_g(r)=+\infty$. From this and the previous inequality we conclude that

$$R_{f \circ g} = \liminf_{r \to \infty} \left\{ \frac{\log \rho_{f \circ g}^*(r)}{\log r} \right\}$$

$$\geq \liminf_{r \to \infty} \left\{ \frac{\log \rho_f^*(\rho_g(r))}{\log(\rho_g(r))} \right\} \left\{ \frac{\log(\rho_g(r))}{\log r} \right\}$$

$$\geq R_f R_g. \qquad \Box$$

Theorem 2.12. Let X_1, X_2 be metric spaces. If there exists a quasi-isometry $\varphi : X_1 \to X_2$ then $R(X_1, Y) \ge R(X_2, Y)$, for every metric space Y.

Proof. Let $\varphi: X_1 \to X_2$ be a quasi-isometry, and let Y be a metric space. If $f \in \text{Lip}^{ls}(X_2, Y)$ then $f \circ \varphi \in \text{Lip}^{ls}(X_1, Y)$ and it follows from Propositions 2.11 and 2.10 that $R_{f \circ \varphi} \geq R_f R_{\varphi} = R_f$. Thus we have

$$R(X_1, Y) = \sup\{ R_g : g \in \operatorname{Lip^{ls}}(X_1, Y) \} \ge \sup\{ R_{f \circ \varphi} : f \in \operatorname{Lip^{ls}}(X_2, Y) \}$$

 $\ge \sup\{ R_f : f \in \operatorname{Lip^{ls}}(X_2, Y) \} = R(X_2, Y).$

Corollary 2.13. If the metric spaces X_1 and X_2 are quasi-isometric then $R(X_1, Y) = R(X_2, Y)$ for every metric space Y.

Corollary 2.14. Let Γ be a finitely generated discrete group. The Hilbert space distortion $R(\Gamma)$ is independent of the finite, symmetric generating set used to define the length function and metric on Γ .

Proof. Word length metrics associated to finite, symmetric generating sets are quasi-isometric; indeed, the identity provides the required K-dense quasi-isometry [3].

3. Uniform embeddings and exactness

In this section we will relate the Hilbert space compression of a metric space X to uniform embeddability, and, in the case of a finitely generated discrete group, to exactness.

Proposition 3.1. Let X be a metric space. If the Hilbert space compression of X is nonzero then X is uniformly embeddable in Hilbert space.

Proof. Let X be given with R(X) > 0. From the Definition 2.2 of R(X) we see that there exists $\varepsilon > 0$ and a large scale Lipschitz map $f \in \text{Lip}^{ls}(X, \mathcal{H})$ with asymptotic compression greater than ε :

$$R_f = \liminf_{r \to \infty} \frac{\log \rho_f^*(r)}{\log r} > \varepsilon.$$

In particular, for all sufficiently large r, we have $\log \rho_f^*(r) \geq \frac{\varepsilon}{2} \log r$, hence $\rho_f^*(r) \geq r^{\varepsilon/2}$. Consequently ρ_f^* , and ρ_f , are proper and f is a uniform embedding.

The main result of this section is the following.

Theorem 3.2. Let Γ be a finitely generated discrete group. If the Hilbert space compression of Γ is greater than 1/2 then Γ is exact.

The proof of the theorem relies on the following characterization of exactness [10, 9, 14]:

Proposition 3.3. Let Γ be a finitely generated discrete group, equipped with word length and metric associated to a finite, symmetric set of generators. Then Γ is exact if and only if there exists a sequence of positive definite functions, $u_n:\Gamma\times\Gamma\to\mathbb{R}$, satisfying

for all
$$C > 0$$
, $u_n \to 1$ uniformly on the strip $\{(s,t) : d(s,t) \le C\}$ (8)

and

for all n, there exist
$$R > 0$$
, such that $u_n(s,t) = 0$ if $d(s,t) \ge R$. \square

We refer to (8) as the *convergence condition* and to (9) as the *support condition*; a kernel $\Gamma \times \Gamma \to \mathbb{R}$ satisfying the support condition is of *finite width*.

Under the assumption that $R(\Gamma) > 1/2$ we will construct a sequence of positive definite kernels on $\Gamma \times \Gamma$ satisfying the convergence and support conditions of the proposition.

Given a complex-valued kernel $k: \Gamma \times \Gamma \to \mathbb{C}$, define an operator $\mathrm{Op}(k)$ by convolution:

$$\operatorname{Op}(k)\xi(x) = \sum_{y \in Y} k(x, y)\xi(y), \quad \xi \in l^2(\Gamma).$$
(10)

We will need both of the following criteria for the boundedness of Op(k) on $l^2(\Gamma)$, (c.f. [15]).

Proposition 3.4. Under either of the following conditions Op(k) is a bounded operator.

- (i) If k is bounded and has finite width then Op(k) is bounded
- (ii) (Schur Test) Let k be non-negative and real-valued with the property that there exists C > 0 such that

$$\sum_{s \in \Gamma} k(s, t) \le C, \quad \text{for all } t \in \Gamma$$

$$\sum_{t \in \Gamma} k(s, t) \le C, \quad \text{for all } s \in \Gamma.$$
(11)

Then Op(k) is bounded and $||Op(k)|| \le C$.

Proof of Theorem 3.2. Let Γ be a finitely generated discrete group equipped with the word length metric associated to a finite symmetric generating set. Assuming that $R(\Gamma) > 1/2$ and arguing as in the proof of Proposition 3.1 conclude that there exists a large-scale Lipschitz map $f \in \text{Lip}^{ls}(\Gamma, \mathcal{H})$, an $\varepsilon > 0$ and an $r_0 > 0$ such that

$$\rho_f(r) \ge r^{(1+\varepsilon)/2}, \quad \text{for all } r \ge r_0.$$
(12)

Define, for $k \geq 1$, a function $u_k : \Gamma \times \Gamma \to \mathbb{R}$ by

$$u_k(s,t) = e^{-\|f(s) - f(t)\|^2 k^{-1}}, \text{ for all } s, t \in \Gamma.$$

Since the function $||f(s) - f(t)||^2$ is of negative type [4], each u_k is positive definite by Schoenberg's theorem [1], and is also normalized in the sense that $u_k(s,s) = 1$, for all $s \in \Gamma$. Further, since f is large-scale Lipschitz, the sequence u_k satisfies the convergence condition (8). However, instead of the support condition (9), they possess a weaker decay property. The remainder of the proof will be devoted to approximating the u_k uniformly by finite width positive definite kernels so that both the convergence and support conditions hold for the approximants.

Recall that the *uniform Roe algebra*, $C_u^*(\Gamma)$, is the C^* -algebra of bounded operators on $l^2(\Gamma)$ which is the norm closure of the subalgebra of operators generated by Op(k), where k is a bounded finite width kernel.

Lemma 3.5. The operators $Op(u_k) \in C_n^*(\Gamma)$, for all $k \geq 1$.

Proof. We show that for every $\kappa > 0$ the kernel $u: \Gamma \times \Gamma \to \mathbb{C}$ defined by

$$u(s,t) = e^{-\|f(s) - f(t)\|^2 \kappa}, \quad s, t \in \Gamma$$
 (13)

defines an element $Op(u) \in C_u^*(\Gamma)$. To this end, define, for $n \in \mathbb{N}$

$$k_n(s,t) = \begin{cases} u(s,t), & \text{if } d(s,t) > n \\ 0, & \text{otherwise.} \end{cases}$$

Note that $u - k_n$ is a bounded finite width kernel so that $\operatorname{Op}(u - k_n) \in C_u^*(\Gamma)$. Since $\operatorname{Op}(u) = \operatorname{Op}(u - k_n) + \operatorname{Op}(k_n)$ on compactly supported elements of $l^2(\Gamma)$, it suffices to show that $\|\operatorname{Op}(k_n)\| \to 0$ as $n \to \infty$.

We proceed using the Schur test. Since the k_n are non-negative real-valued and symmetric, it is sufficient to check either one of the inequalities in (11). For this, we will show that there exists a sequence $C_n \to 0$ such that

$$\sum_{t \in \Gamma} k_n(s,t) = \sum_{m > n} \sum_{d(s,t) = m} u(s,t) \le C_n, \quad \text{for all } s \in \Gamma.$$

This, in turn, follows from the assertion that there exists C such that

$$\sum_{n\geq 0} \sum_{d(s,t)=n} u(s,t) \leq C, \quad \text{for all } s \in \Gamma.$$

To obtain C, let σ be the spherical growth function of Γ defined by

$$\sigma(n) = \operatorname{card} \{ t \in \Gamma : d(t, e) = n \}.$$

Denoting by S the fixed generating set of Γ observe that $\sigma(n) \leq (\operatorname{card} S)^n$. Combining (12) and (13) see that if $d(s,t) = n \geq r_0$ then $n^{(1+\varepsilon)/2} \leq \rho_f(n) \leq ||f(s) - f(t)||$, and also $u(s,t) \leq e^{-\kappa n^{(1+\varepsilon)}}$. Let $m \geq r_0$ be sufficiently large such that $\operatorname{card}(S) < e^{\kappa m^{\varepsilon}}$. We estimate:

$$\sum_{n\geq 0} \sum_{d(s,t)=n} u(s,t) = \sum_{n\leq m} \sum_{d(s,t)=n} u(s,t) + \sum_{n>m} \sum_{d(s,t)=n} u(s,t)$$

$$\leq \sum_{n\leq m} \sigma(n) + \sum_{n>m} \sum_{d(s,t)=n} e^{-\kappa n^{1+\varepsilon}}$$

$$\leq \sum_{n\leq m} \sigma(n) + \sum_{n>m} \sigma(n) e^{-\kappa n^{1+\varepsilon}}$$

$$\leq \sum_{n\leq m} \sigma(n) + \sum_{n>m} \left\{ \frac{\operatorname{card}(S)}{e^{\kappa n^{\varepsilon}}} \right\}^{n}$$

$$\leq \sum_{n\leq m} \sigma(n) + \sum_{n>m} \left\{ \frac{\operatorname{card}(S)}{e^{\kappa m^{\varepsilon}}} \right\}^{n},$$

$$(14)$$

which is both finite and independent of $s \in \Gamma$. We set C equal to the right hand side of the inequality. This completes the proof of the lemma.

We now complete the proof of the theorem. Since u_k is normalized we have $\|\operatorname{Op}(u_k)\| \geq 1$. It is straightforward to show that since the u_k are positive definite kernels the $\operatorname{Op}(u_k)$ are positive operators. Let $V_k \in C_u^*(\Gamma)$ be the positive square root of $\operatorname{Op}(u_k)$ and let $W_k \in C_u^*(\Gamma)$ be operators represented by finite width kernels and such that $\|V_k - W_k\| \|V_k\| \to 0$. Define kernels \widehat{u}_k by

$$\widehat{u}_k(s,t) = \langle W_k \delta_t, W_k \delta_s \rangle, \quad s, t \in \Gamma.$$

The \widehat{u}_k are positive definite kernels and, since the W_k are represented by finite width kernels, the \widehat{u}_k are themselves finite width kernels. Finally,

$$|u_{k}(s,t) - \widehat{u}_{k}(s,t)| = |\langle (\operatorname{Op}(u_{k}) - W_{k}^{*}W_{k}) \delta_{t}, \delta_{s} \rangle|$$

$$\leq ||V_{k}^{*}V_{k} - W_{k}^{*}W_{k}||$$

$$\leq ||V_{k} - W_{k}|| (||V_{k}|| + ||W_{k}||)$$

$$\leq ||V_{k} - W_{k}|| (2||V_{k}|| + ||V_{k} - W_{k}||),$$

which tends to zero as $k \to \infty$. Consequently $u_k - \widehat{u}_k \to 0$ uniformly on $\Gamma \times \Gamma$ and since the u_k satisfy the convergence condition so do the \widehat{u}_k .

This theorem has the following interesting consequence.

Theorem 3.6. Let $f: \Gamma \to \mathcal{H}$ be a uniform embedding of a finitely generated group into a Hilbert space. Suppose that $f(\Gamma) \subseteq \mathcal{H}$ is a quasi-geodesic space with the induced metric. Then $C_r^*(\Gamma)$ is an exact C^* -algebra.

Proof. Since f is a uniform embedding Γ is coarsely equivalent to $f(\Gamma)$ by Proposition 6.2. Since both are quasi-geodesic spaces, it follows from Proposition 6.3 that Γ is quasi-isometric to $f(\Gamma)$. But the latter is isometrically embedded in a Hilbert space, so $R(f(\Gamma)) = 1$ by Proposition 2.4. By quasi-isometry invariance, Corollary 2.13, we get $R(\Gamma) = 1$, and hence, by Theorem 3.3, $C_r^*(\Gamma)$ is exact.

Remark. One might try to argue along the lines of the previous proof to show that any uniformly embeddable group is exact. The first difficulty is that if $f(\Gamma)$ is not quasi-geodesic with the induced metric then there is no way to deduce that $R(\Gamma) = 1$ even though $R(f(\Gamma)) = 1$. However, one need not give up yet. If one could deduce from $R(f(\Gamma)) = 1$ the existence of u_n 's satisfying the conditions in Theorem 3.3, then one could pull back the u_n 's to $\Gamma \times \Gamma$ and they would also satisfy the necessary condition, thus showing Γ was exact. The difficulty here is that the argument used to construct the u_n 's depended on the fact that the spherical growth rate of a group with a word length metric is at most exponential—a fact that need not hold for an arbitrary discrete metric space like $f(\Gamma)$.

4. Behavior of $R(\Gamma)$ under direct sums and certain free products Let X and Y be metric spaces. Let $X \times Y$ be the cartesian product with the metric

$$d_{X\times Y}((x,y),(x',y')) = d_X(x,x') + d_Y(y,y').$$

We will obtain a formula for the Hilbert space distortion $R(X \times Y)$ in terms of R(X) and R(Y).

Proposition 4.1. For metric spaces X and Y we have $R(X \times Y) = \min \{ R(X), R(Y) \}$.

Proof. Note that, for fixed $y_0 \in Y$, the map $x \longmapsto (x, y_0)$ provides an isometry $X \to X \times Y$. Applying Theorem 2.12 we conclude that $R(X) \geq R(X \times Y)$. Similarly $R(Y) \geq R(X \times Y)$ and so min $\{R(X), R(Y)\} \geq R(X \times Y)$.

We must prove the reverse inequality. Assume that $R(X) \leq R(Y)$. Let $\varepsilon > 0$ be given. We will show that there exists a large-scale Lipschitz map $h: X \times Y \to \mathcal{H}$ such that $R_h \geq 0$

 $R(X) - \varepsilon$. From this one obtains

$$R(X \times Y) \ge R_h \ge R(X) - \varepsilon = \min\{R(X), R(Y)\} - \varepsilon,$$

and the desired inequality follows.

According to the definition of R(X) and R(Y) there exist $f \in \text{Lip}^{ls}(X, \mathcal{H}_X)$ and $g \in \text{Lip}^{ls}(Y, \mathcal{H}_Y)$ such that

$$R_f \ge R(X) - \varepsilon$$

 $R_g \ge R(Y) - \varepsilon \ge R(X) - \varepsilon$.

Define $h: X \times Y \to \mathcal{H} = \mathcal{H}_X \oplus \mathcal{H}_Y$ by $h(x,y) = f(x) \oplus g(y)$. From the inequality

$$\frac{\alpha + \beta}{\sqrt{2}} \le (\alpha^2 + \beta^2)^{1/2} \le \alpha + \beta, \quad \text{for all } \alpha, \beta \ge 0, \tag{15}$$

we conclude that $h \in \text{Lip}^{ls}(X \times Y, \mathcal{H})$. It remains to estimate the compression of h, again using (15). We have,

$$||h(x,y) - h(x',y')|| = ||f(x) - f(x') \oplus g(y) - g(y')||$$

$$\geq \frac{1}{\sqrt{2}} \{||f(x) - f(x')|| + ||g(y) - g(y')||\}$$

If $d_{X\times Y}((x,y),(x',y'))\geq r$ then at least one of $d_X(x,x')$ or $d_Y(y,y')\geq r/2$. Consequently,

$$\rho_{h}(r) = \inf\{ \|h(x,y) - h(x',y')\| : d_{X\times Y}((x,y),(x',y')) \ge r \}
\ge \frac{1}{\sqrt{2}} \inf\{ \|f(x) - f(x')\| + \|g(y) - g(y')\| : d_{X\times Y}((x,y),(x',y')) \ge r \}
\ge \frac{1}{\sqrt{2}} \min\{ \rho_{f}\left(\frac{r}{2}\right), \rho_{g}\left(\frac{r}{2}\right) \}$$

It follows that,

$$R_{h} = \liminf_{r \to \infty} \frac{\log \rho_{h}(r)}{\log r}$$

$$\geq \liminf_{r \to \infty} \min \left\{ \frac{\log \rho_{f}\left(\frac{r}{2}\right)}{\log r}, \frac{\log \rho_{g}\left(\frac{r}{2}\right)}{\log r} \right\}$$

$$= \min \left\{ R_{f}, R_{g} \right\} \geq R(X) - \varepsilon. \quad \Box$$

We next study a the free product $\mathbb{Z} * \mathbb{Z}$. The calculation of $R(\mathbb{Z} * \mathbb{Z})$ requires a new technique to deform uniform embeddings. It is likely that a variant of this technique will apply to other free products (without amalgam), but we will not not address this in the present paper.

Proposition 4.2. Let \mathbb{F}_2 be the free group on two generators. Then $R(\mathbb{F}_2) = 1$.

Proof. Let X = (V, E) be the Cayley graph of \mathbb{F}_2 , $V \cong \mathbb{F}_2$ being the set of vertices and E the set of edges. Let $\mathcal{H} = l^2(E)$. Define

$$f: \mathbb{F}_2 \to \mathcal{H}, \quad f(s) = \delta_{e_1(s)} + \dots + \delta_{e_k(s)},$$

where δ_e is the Dirac function of the edge e and $e_1(s), \ldots, e_k(s)$ are the edges on the unique path in the Cayley graph from $s \in \mathbb{F}_2$ to the identity $1 \in \mathbb{F}_2$. Note that k = d(s, 1) so that $||f(s)|| = \sqrt{d(s, 1)}$. Indeed, the following assertions can be verified directly: $||f(s) - f(t)|| = \sqrt{d(s, t)}$, for all s and $t \in \Gamma$ and $\sqrt{r} \leq \rho_f(r) \leq \sqrt{r+1}$. Hence, the asymptotic compression of f is 1/2.

Our strategy for proving the proposition is to produce, by placing appropriate weights into the above formula for f, a family of large-scale Lipschitz embeddings $f_{\varepsilon} \in \text{Lip}^{\text{ls}}(\mathbb{F}_2, \mathcal{H})$, for $0 < \varepsilon < 1/2$, such that $R_{f_{\varepsilon}} \to 1$ as $\varepsilon \to 1/2$. Denote $\xi_{\varepsilon}(x) = x^{\varepsilon}$ and define weights by $c_{\varepsilon,n} = \xi_{\varepsilon}(n) = n^{\varepsilon}$, for $n \in \mathbb{N}$. Define $f_{\varepsilon} \colon \mathbb{F}_2 \to l^2(E)$ by

$$f_{\varepsilon}(s) = c_{\varepsilon,1}\delta_{e_1(s)} + \dots + c_{\varepsilon,k}\delta_{e_k(s)},$$

where k and $e_1(s), \ldots, e_k(s)$ are as above.

In order to show that f_e is a large scale Lipschitz map it suffices to show that there exists C > 0 such that

$$d(s,t) = 1 \Longrightarrow ||f_{\varepsilon}(s) - f_{\varepsilon}(t)||^2 \le C$$
, for all $s, t \in \mathbb{F}_2$.

Let $s, t \in \mathbb{F}_2$ be such that d(s,t) = 1. Denote by k the length of s and, without loss of generality, k+1 the length of t. We have

$$||f_{\varepsilon}(s) - f_{\varepsilon}(t)||^2 = c_{\varepsilon,1}^2 + (c_{\varepsilon,2} - c_{\varepsilon,1})^2 + \dots + (c_{\varepsilon,k+1} - c_{\varepsilon,k})^2$$

so that the desired inequality follows from the elementary fact that $\sum_{j=2}^{\infty} (c_{\varepsilon,j} - c_{\varepsilon,j-1})^2$ is finite. Indeed,

$$\sum_{j=2}^{\infty} (c_{\varepsilon,j} - c_{\varepsilon,j-1})^2 = \sum_{j=2}^{\infty} \left(\int_{j-1}^j \xi_{\varepsilon}'(x) \, dx \right)^2 \le \sum_{j=2}^{\infty} \int_{j-1}^j \left(\xi_{\varepsilon}'(x) \right)^2 \, dx$$
$$= \int_1^{\infty} \varepsilon^2 x^{2\varepsilon - 2} \, dx = \frac{\varepsilon^2}{1 - 2\varepsilon}.$$

To conclude the proof we must show that $R_{f_{\varepsilon}} \geq 1/2 + \varepsilon$. In view of Definition 2.2 of the asymptotic compression it suffices to show that there exists a constant $C_{\varepsilon} > 0$, depending

only on ε , such that

$$||f_{\varepsilon}(s) - f_{\varepsilon}(t)||^2 \ge C_{\varepsilon} r^{1+2\varepsilon}$$
, for all $s, t \in \mathbb{F}_2$ with $d(s, t) \ge r$.

Indeed, it follows from this that $\rho_{f_{\varepsilon}}(r) \geq \sqrt{C_{\varepsilon}} r^{1/2+\varepsilon}$ for all $r \geq 1$ and hence that $R_{f_{\varepsilon}} = \lim\inf_{r\to\infty}\frac{\log\rho_{f_{\varepsilon}}(r)}{\log r} \geq 1/2 + \varepsilon$. To prove the inequality let $s, t \in \mathbb{F}_2$ be such that $d(s,t) \geq r$ and assume, without loss of generality, that $d(1,s) \leq d(1,t)$. Denoting the smallest integer greater than r/2 by #(r/2), one checks easily that the edges $e_1(t), \ldots, e_{\#(r/2)}(t)$ appear in the expression for $f_{\varepsilon}(t)$, but do not appear in that of $f_{\varepsilon}(s)$. In particular,

$$||f_{\varepsilon}(s) - f_{\varepsilon}(t)||^2 \ge c_{\varepsilon,1}^2 + \dots + c_{\varepsilon,\#(r/2)}^2 \ge \int_0^{r/2} \xi_{\varepsilon}^2(x) \, dx = \frac{r^{2\varepsilon+1}}{(2^{2\varepsilon+1})(2\varepsilon+1)}.$$

5. The equivariant case

Incorporating an action of the group Γ the ideas of the previous section yield results about amenability and a-T-menability. To this end, we adapt the previous definitions and results to the equivariant case. Let Γ be a finitely generated discrete group, equipped as usual with a word length metric. Let X be a metric space on which Γ acts by isometries. We define the equivariant Hilbert space compression of X by restricting our attention to Γ -equivariant large-scale Lipschitz maps of X into Hilbert spaces equipped with actions of Γ by affine isometries. Precisely, define

$$\operatorname{Lip}_{\Gamma}^{\operatorname{ls}}(X,\mathcal{H}) = \begin{cases} \Gamma\text{-equivariant large-scale Lipschitz maps} \\ f: X \to \mathcal{H}, \ \mathcal{H} \ \text{a } \Gamma\text{-Hilbert space}; \end{cases}$$
 (16)

the definition of the compression and asymptotic compression of $f \in \operatorname{Lip}_{\Gamma}^{\operatorname{ls}}(X,\mathcal{H})$ are the same as in the non-equivariant case (see (3) and (4), respectively); the Γ -equivariant Hilbert space compression of X is defined by

$$R_{\Gamma}(X) = \sup\{ R_f : f \in \operatorname{Lip}_{\Gamma}^{\operatorname{ls}}(X, \mathcal{H}) \}.$$

(Compare to Definition 2.2.) With these definitions in place the following analogs of Theorem 2.12 and its corollaries are proved in the same manner.

Theorem 5.1. Let X and Y be metric spaces on which the countable discrete group Γ acts by isometries. If there exists an equivariant quasi-isometry $X \to Y$ then $R_{\Gamma}(X) \geq R_{\Gamma}(Y)$. \square

Corollary 5.2. Let Γ be a finitely generated discrete group. The invariant $R_{\Gamma}(\Gamma)$ is independent of the finite symmetric generating set used to define the length function and metric on Γ .

Recall that an affine isometric action of Γ on a Hilbert space \mathcal{H} consists of an orthogonal representation $t \longmapsto \pi_t$ of Γ on \mathcal{H} and a function $b : \Gamma \to \mathcal{H}$ satisfying the *cocycle identity*

$$b(st) = \pi_s(b(t)) + b(s); \tag{17}$$

this identity insures that

$$s \mapsto s \cdot : \Gamma \to \text{Isom}(\mathcal{H}), \quad s \cdot x = \pi_s(x) + b(s), \quad x \in \mathcal{H},$$
 (18)

defines a homomorphism from Γ into the group of affine isometries of \mathcal{H} . An affine isometric action is *metrically proper* if for every bounded set $B \subset \mathcal{H}$ the set $\{s \in \Gamma : s \cdot B \cap B \neq \emptyset\}$ is finite; equivalently, the cocycle b is *proper* in the sense that for every C > 0 the set $\{s \in \Gamma : ||b(s)|| \leq C\}$ is finite. A countable discrete group Γ has the *Haagerup property* if it admits a metrically proper affine isometric action on a Hilbert space. The first part of the next theorem is analogous to Proposition 3.1; the second part is analogous to Theorem 3.2.

Theorem 5.3. Let Γ be a finitely generated discrete group. If $R_{\Gamma}(\Gamma) > 0$ then Γ has the Haagerup property. If $R_{\Gamma}(\Gamma) > \frac{1}{2}$, then Γ is amenable.

According to the theorem, if a finitely generated discrete group Γ has an orthogonal representation on a Hilbert space that admits a cocycle b of sufficiently rapid growth then it is amenable. Indeed, suppose that π is an orthogonal action of Γ on \mathcal{H} . A cocycle b for π is an element of $\operatorname{Lip}_{\Gamma}^{ls}(\Gamma, \mathcal{H})$, where we view Γ as acting on \mathcal{H} by the affine isometric action (18) and on itself by multiplication on the left; the required equivariance follows from the cocycle identity and it is easy to verify that b is large-scale Lipschitz. Further, one has

$$||b(s) - b(t)|| = ||\pi_t(b(t^{-1}s))|| = ||b(t^{-1}s)||$$

from which follows that

$$\rho_b(r) = \inf\{ \|b(s) - b(t)\| : d(s,t) \ge r \} = \inf\{ \|b(s)\| : d(s,e) \ge r \}.$$

Thus, if an orthogonal action of Γ on a Hilbert space \mathcal{H} admits a cocycle b for which

$$R_b = \liminf_{r \to \infty} \frac{\log \inf\{ \|b(s)\| : d(s, e) \ge r \}}{\log r} > \frac{1}{2}$$

then it is amenable. In particular, this is the case if the cocycle satisfies $||b(s)|| \ge (d(s, e))^{1/2+\varepsilon}$ for some $\varepsilon > 0$.

As an illustration consider once again $\Gamma = \mathbb{F}_2$, the free group on two generators. As in the proof of Proposition 4.2, let X = (V, E) be the Cayley graph of \mathbb{F}_2 , $V \cong \mathbb{F}_2$ being the set of

vertices and E the set of edges. Let $\mathcal{H} = l^2(E)$ be the Hilbert space of real valued functions equipped with an orthogonal action, π , of \mathbb{F}_2 , and the function

$$b: \mathbb{F}_2 \to l^2(E), \quad b(s) = \begin{cases} \text{characteristic function of the set of} \\ \text{edges on the unique path from } s \text{ to} \\ \text{the identity} \end{cases}$$

satisfies the cocycle identity (17). Consequently, $b \in \operatorname{Lip}_{\Gamma}^{\operatorname{ls}}(\mathbb{F}_2, l^2(E))$, where we equip $l^2(E)$ with the affine isometric action (18).

As remarked earlier,

$$||b(s) - b(t)|| = \sqrt{d(s, t)},$$

for all $s, t \in \mathbb{F}_2$, and the asymptotic compression of b is $R_b = \liminf_{r \to \infty} \frac{\log \rho_b(r)}{\log r} = 1/2$. In particular, the equivariant Hilbert space compression of \mathbb{F}_2 satisfies $R_{\mathbb{F}_2}(\mathbb{F}_2) \geq 1/2$. On the other hand, since \mathbb{F}_2 is not amenable we have $R_{\mathbb{F}_2}(\mathbb{F}_2) \leq 1/2$. Hence $R_{\mathbb{F}_2}(\mathbb{F}_2) = 1/2$. This should be compared to Proposition 4.2, in which we proved, by deforming the cocycle b, that that $R(\mathbb{F}_2) = 1$.

6. Appendix

In this appendix we review several known relations between uniform embeddings and other notions of coarse geometry [16]. We include some of the elementary proofs for the convenience of the reader.

Let X and Y be metric spaces. A coarse map is a function $f: X \to Y$ satisfying the following two conditions:

(i) For every R > 0 there exists an S > 0 such that

$$d_X(x, x') \le R \Longrightarrow d_Y(f(x), f(x')) \le S.$$

(ii) If $B \subseteq Y$ is bounded, then $f^{-1}(B)$ is bounded.

A coarse map $f: X \to Y$ is a coarse equivalence if there is a coarse map $g: Y \to X$ and a K > 0 such that

$$\begin{aligned} &d(gf(x),x) \leq K, & \text{for all } x \in X, \\ &d(fg(y),y) \leq K, & \text{for all } y \in Y. \end{aligned} \tag{19}$$

Proposition 6.1. Let X and Y be metric spaces. A function $f: X \to Y$ is a uniform embedding if and only if it satisfies the following two conditions:

(i) For every R > 0 there exists an S > 0 such that

$$d_X(x, x') \le R \Longrightarrow d_Y(f(x), f(x')) \le S.$$

(ii) For every S > 0 there exists an R > 0 such that

$$d_X(x, x') \ge R \Longrightarrow d_Y(f(x), f(x')) \ge S.$$

Condition (ii) in this proposition implies condition (ii) in the preceding definition so that a uniform embedding is a coarse map. Conversely, coarse map need not be a uniform embedding.

Sketch. Let $f: X \to Y$ be a function satisfying (i) and (ii). By virtue of (i) we may define a non-decreasing, real-valued function ρ_+ by

$$\rho_{+}(r) = \sup_{d_X(x,y) \le r} d_Y(f(x), f(y)); \tag{20}$$

we define $\rho_{-} = \rho_{f}$ according to (3). These functions satisfy the inequalities on (1). By virtue of (ii), ρ_{-} is proper, as is ρ_{+} .

We omit verification of the converse. \Box

Proposition 6.2. Let X and Y be metric spaces. A function $f: X \to Y$ is a uniform embedding if and only if it is a coarse equivalence of X with $f(X) \subseteq Y$ with the induced metric.

Proposition 6.3. Let X and Y be quasi-geodesic metric spaces. A function $f: X \to Y$ is a coarse equivalence if and only if it is a quasi-isometric equivalence.

Proof. A quasi-isometric equivalence is always a coarse equivalence; we show the converse under the assumption that X and Y are quasi-geodesic spaces.

Let $f: X \to Y$ be a coarse equivalence. The function ρ_+ defined in (20) satisfies the second inequality in (1); hence, according to Proposition 2.9, f is large-scale Lipschitz. It remains only to find constants satisfying the first inequality in (7).

Let $g: Y \to X$ be a coarse map satisfying (19) for some $K \geq 0$. Arguing as for f conclude that g is large-scale Lipschitz. Let C > 0 and $D \geq 0$ be constants as in the definition (2) of large-scale Lipschitz; that is, satisfying

$$d_X(g(y), g(y')) \le Cd_Y(y, y') + D,$$

for all $y, y' \in Y$. Let x and $x' \in X$ and calculate

$$d_X(x, x') \le d_X(x, gf(x)) + d_X(gf(x), gf(x')) + d_X(gf(x'), x')$$

$$\le 2K + Cd_Y(f(x), f(x')) + D$$

from which follows that

$$C^{-1}d_X(x,x') - C^{-1}(2K+D) \le d_Y(f(x),f(x')).$$

Proposition 6.4. Let X and Y be discrete metric spaces with X quasi-geodesic. A uniform embedding $f: X \to Y$ is a quasi-isometry if and only if f(X), with the metric induced from Y, is a quasi-geodesic space.

Proof. Let $f: X \to Y$ be a uniform embedding and assume that f(X) is a quasi-geodesic space. By Proposition 6.2, f is a coarse equivalence between X and f(X), and by Proposition 6.3 it is a quasi-isometry.

For the converse, let $f: X \to Y$ be a quasi-isometry and let C > 0 and $D \ge 0$ be associated constants. Let $\delta > 0$ and $\lambda \ge 1$ be the constants as in (5) reflecting the fact that X is a quasi-geodesic space. We show that two points in f(X) are connected by a sequence of points satisfying (5) with δ and λ replaced by

$$\delta' = \frac{3\delta C}{2} + D, \qquad \lambda' = \frac{2C(D + \delta')\lambda}{\delta} + 1.$$

Let f(x) and $f(y) \in f(X)$. We may assume that $d_Y(f(x), f(y)) \ge \delta'$, for if not f(x), f(y) is the required sequence. As in the proof of Proposition 2.9 we obtain a sub-sequence of points in $X, x_0, x_1, \ldots, x_m, y$ connecting x and y and satisfying the required estimates. (The subsequence indices are suppressed here for easier reading.) One can show directly that

$$d_Y(f(x_{j-1}), f(x_j)) \le \delta', \quad d_Y(f(x_m), y) \le \delta', \tag{21}$$

so it remains to verify that

$$\sum_{j=1}^{m} d_Y(f(x_{j-1}), f(x_j)) + d_Y(f(x_m), f(y)) \le \lambda' d_Y(f(x), f(y)). \tag{22}$$

From the first inequality in (7) we conclude that $d_X(x,y) \leq C(d_Y(f(x),f(y))+D)$. Since $d_Y(f(x),f(y)) \geq \delta'$ one concludes that

$$d_X(x,y) \le C\left(1 + \frac{D}{\delta'}\right) d_Y(f(x), f(y)).$$

Combining this inequality with (21) and the bound on m from (2.9), the sum in (22) is bounded by

$$(m+1)\delta' \le \left(\frac{2\lambda}{\delta} d_X(x,y) + 1\right)\delta' \le \frac{2\lambda}{\delta} C\left(\delta' + D\right) d_Y(f(x), f(y)) + \delta' \le \lambda' d_Y(f(x), f(y)),$$

where we again use the assumption that $d_Y(f(x), f(y)) \geq \delta'$. This concludes the proof. \square

The inclusion of a finitely generated group as a subgroup in another finitely generated group is a uniform embedding, but it's range need not be a quasi-geodesic metric space with the induced metric; the inclusion of \mathbb{Z} in the discrete 3-dimensional Heisenberg group provides an example of this phenomenon.

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